General Data Protection Notice Capital Market Communications

In the course of capital market communications carried out by voestalpine AG, voestalpine-Straße 1, A-4020 Linz (“voestalpine”), it is essential for us to process personal data of analysts, institutional investors, and private shareholders. “Personal data” is any information that relates to an identified or identifiable natural person (e.g. names and addresses).

Please find attached a summary of the processing of personal data of analysts, institutional investors, and private shareholders:

1. Categories of personal data processed, purpose of the processing, and legal basis

For the purpose of capital market communications, voestalpine processes the following categories of personal data in particular:

» Name,
» Contact data,
» Other personal data that is provided in the course of capital market communications or that results from capital market communications, including all text documents (e.g. correspondence) that are automatically stored and archived.

The legal basis for data processing is Article 6(1)(b) (your consent) or Article 6(1)(f) (legitimate interests of voestalpine) of the General Data Protection Regulation (GDPR) (capital market communications).

The processing of personal data is necessary to meet the aforementioned purposes. If the personal data mentioned are not made available or not made available to the required extent, or voestalpine cannot collect them, communication might not take place.

2. Übermittlung und Weitergabe der personenbezogenen Daten

Without your consent, we will not transfer your personal data to third parties unless it is required to perform our duties or is required by law/authorities.

voestalpine engages processors (service providers) to process personal data. These processors are contractually bound to comply with the applicable data protection regulations.

Recipients of personal data may be located in countries outside of the European Union (“third countries”), in which applicable laws do not offer the same level of data protection as the laws of the respective individual’s home country. In this case, according to the legal requirements personal data is only transferred if the European Commission has adopted an adequacy decision for the third country, if adequate safeguards have been agreed (e.g. EU Standard Contractual Clauses were concluded), the recipient participates in an approved certification system (e.g. EU-US Privacy Shield), binding corporate rules are implemented in accordance with Art. 47 of the General Data Protection Regulation or there is a derogation for specific situations in accordance with Art. 49 of the General Data Protection Regulation (e.g. because you explicitly consented to the proposed transfer, after having been informed of the possible risks of such transfers for the data subject due to the absence of an adequacy decision and appropriate safeguards). Further information and a copy of the implemented measures can be obtained from the contact listed under 5.
3. Retention periods

If no storage period was expressly stated at the time of the collection of your personal data (e.g. in a declaration of consent) your personal data will be erased or anonymized as soon as you inform us that you do not wish to receive further information or if the retention of the personal data is no longer necessary to fulfill the purposes for which they were collected and if no statutory retention obligations (such as tax or commercial law) or establishment, exercise or defence of legal claims require us to further retain the data.

4. Right of access to and rectification or erasure of personal data, restriction of processing, Right to object to processing, right to data portability and right to withdraw explicitly granted consent

   » In accordance with Art. 15 GDPR, you have the right to obtain from the controller confirmation as to whether or not personal data concerning you are being processed and access to information about this data.

   » In accordance with Art. 16 GDPR, you have the right to obtain without undue delay the rectification of inaccurate personal data and to have incomplete personal data completed.

   » In accordance with Art. 17 GDPR, you have the right to erasure of your personal data.

   » In accordance with Art. 18 GDPR, you have the right to restrict processing

   » In accordance with Art. 20 GDPR, you have the right to data portability.

   » In accordance with Art. 21 GDPR, you have the right to object to the processing of personal data.

   » Finally, you are entitled to file a complaint with the supervisory authority.

   » If processing of your personal data is based on your consent, you have the right to withdraw your consent at any time. The withdrawal of consent shall not affect the lawfulness of processing based on consent before its withdrawal.

5. Contact

For any questions related to data protection and asserting the rights as listed, please contact us at IR@voestalpine.com.

This General Data Protection Notice Capital Market Communications will be revised from time to time. The date of the last revision is in the footer.